

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION**

Applicants : Stuart Fraser, *et al.*

Application No. : 09/593,554 Confirmation No.: 7904

Filed : June 14, 2000 Group Art Unit: 3692

Title : SYSTEMS AND METHODS FOR ELECTRONIC TRADING  
THAT PROVIDE INCENTIVES AND LINKED AUCTIONS

Examiner : Narayanswamy Subramanian

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

Although no fee is believed to be due, the Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 50-3938.

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks** begin on page 16 of this paper.